



# Searches for direct production of stops and sbottoms at LHC

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## The Ultimate Question of Life, The Universe, and Everything

- THE theory describing all **fundamental particles** and their **interactions** 
  - With **minimum** of assumptions and free parameters.
  - Describes all interactions from small to cosmological scales.
- The Standard Model (SM) is our *best* attempt
  - Successful theory of interactions of elementary particles and fields
  - Describes *essentially* all lab data so far
    - but (*g*-2)<sub>µ</sub>...



### arXiv:1205.6497

The new particle



- Not too heavy (as SUSY would have liked), but not too light either...
   Are we in metastable vacuum? Is the quartic coupling →0 at Plank scale?
- New physics needed to stabilize its mass (is Nature fine-tuned?)
- SUSY theories can provide an appealing solution

   Large radiative corrections to Higgs mass are cancelled mostly by the stop and sbottom.

## "Natural" SUSY spectrum

- "*Natural*" SUSY spectrum:
  - two higgsinos: one chargino and two neutralinos below 200 350 GeV.
  - two stops and one (left-handed) sbottom: both below 500 700 GeV.
  - a not too heavy gluino, below 900 GeV 1.5 TeV.



# Searching for Natural SUSY

• Different possibilities exist, depending on model



But common approaches can be used to target different decays
 o e.g. T<sub>b</sub>, T<sub>b</sub>, T<sub>t</sub>, are all with all bbW<sup>+</sup>W<sup>-</sup>χ<sup>0</sup>χ<sup>0</sup> (but on-shell t→Wb in T<sub>t</sub>)

Abbreviation	Decay mode	Conditions			
$T_t$	${ ilde t}  o t \chi^0$	$m_{ ilde{t}} > m_t + m_{\chi^0}$			
$  $ $T_b$	$\tilde{t} \rightarrow b \chi^+ \rightarrow b W^+ \chi^0$	$m_{ ilde{t}} > m_b + m_{\chi^+},  m_{\chi^+} > m_{\chi^0} + m_W$			
$T_{b'}$	${\tilde t}  ightarrow b \chi^+  ightarrow b W^{+*} \chi^0$	$m_{ ilde{t}} > m_b + m_{\chi^+},  m_{\chi^+} < m_{\chi^0} + m_W$			
$T_{t'}$	$\tilde{t} \rightarrow t^* \chi^0 \rightarrow b W^+ \chi^0$	$m_{ ilde{t}} < m_t + m_{\chi^0},  m_{ ilde{t}} < m_{\chi^+} + m_b$			
$T_c$	${ ilde t}  o c \chi^0$	$m_{ ilde{t}} < m_t + m_{\chi^0},  m_{ ilde{t}} < m_{\chi^+} + m_b$			
$B_b$	${ ilde b}  o b \chi^0$				
$B_t$	${{\widetilde b}}  ightarrow t \chi^-  ightarrow t W^- \chi^0$	$igg  m_{ ilde{b}} > m_t + m_{\chi^-},  m_{\chi^-} > m_{\chi^0} + m_W igg $			
B_t'	$\tilde{b} \to t \chi^- \to t W^{-*} \chi^0$	$m_{\tilde{b}} > m_t + m_{\chi^-},  m_{\chi^-} < m_{\chi^0} + m_W$			

# The third generation: how?

- Excellent performance of reconstruction is needed for high sensitivity
  - Complex final states with multiples objects
  - *b*-tagging to identify jets originating from *b*-hadrons
  - o *jets and escaping particles* **most** of the time: good understanding of jets, MET
- CMS uses **particle flow (PF)** technique for global event reconstruction
  - Use a combination of all CMS sub-detectors to get the best estimates of energy, direction, particle ID
  - Improve HCAL resolution with tracker
- ATLAS uses detector based event reconstruction for jets, MET,...
  - Combine into more sophisticated tools, e.g. for b-tagging



- Factorized approach to set the jet energy scale
  - > PU offset corrections: derived from from zero-bias data and MC simulations
  - Absolute: obtained from MC; residual differences corrected from Z and  $\gamma$ +jet
- JEC uncertainties dominated by :
  - $\circ~$  PU at low  $p_{T}$ , jet flavor, extrapolation to high  $p_{T}$
  - CMS time stability (forward region) is a temporary artifact of using prompt reco data, will be fixed in the reprocessed data



### Event reconstruction: missing energy (MET)

- MET is one of the crucial variables in
  - Susceptible to imperfections:
  - Hot calorimeter cells, detector noise, beam-halo particles
- Good control over the instrumental noise: data agrees with simulation







RBX-wide (TS

(TS4-TS5)/(JS4+JS5 iv b 9 m



### Event reconstruction: missing energy (MET)

- PU worsens the MET resolution by ~3.5 GeV per additional vertex (in quadrature)
  - Both experiments have developed sophisticated algorithms to improve MET resolution degradation from PU



## Event reconstruction: *b*-tagging

- Several algorithms based on variables such as
  - the impact parameters of charged-particle tracks
  - o properties of reconstructed decay vertices, the presence/absence of a lepton
  - neural network using the output weights of the IP3D, JetFitter+IP3D, and SV1 algorithms (ATLAS)



# **Background estimation**

A crucial element is to have a good control of the backgrounds
 o Both shapes and normalizations need to be very well understood

Standard Model QCD, EWK, ttbar, dibosons, ... fake MET, fake leptons can't rely on simulation:

fully data-driven methods

### control regions

### Irreducible backgrounds

ttbar, EWK: derive normalizations from CR, transfer functions from MC

# Systematic uncertainties

- Background (and uncertainty) determination verified and constrained in control regions
  - $\circ~$  Small systematic uncertainty on the background is essential, especially in small  $\Delta m$  regions
- Experimental uncertainties
  - Jet energy scale and resolution, MET resolution
  - Lepton energy scale and efficiency
  - b-tagging and mis-tagging efficiency
  - Trigger efficiency, luminosity, pileup modeling
- Theoretical uncertainties
  - $\circ~$  Generator modelling ( $\mu_{F},\mu_{R},$  ME/PS matching,  $\alpha_{s}$  scale choice when possible)
  - PS uncertainties (typically compare Pythia and Herwig)
  - PDF choice
  - Understanding ISR modeling in MC



## Direct stop/sbottom searches



- Searches are challenged by

   Small signal Xsections (t- and u-channels suppressed)
  - Often similar in kinematics to large backgrounds
- Targeted efforts, specific channels (0, 1, 2 leptons)
  - MET and *b*-tagging requirements reduce backgrounds
  - All hadronic modes: larger branching ratio, lots of backgrounds
  - Leptonic searches are "cleaner" at the expense of statistics

• Suppress large QCD backgrounds with  $\alpha_{T}$  > 0.55 cut

$$lpha_{\rm T} = rac{E_{\rm T}^{j_2}}{M_{\rm T}} \ , \ M_{\rm T} = \sqrt{\left(\sum_{i=1}^2 E_{\rm T}^{j_i}\right)^2 - \left(\sum_{i=1}^2 p_x^{j_i}\right)^2 - \left(\sum_{i=1}^2 p_y^{j_i}\right)^2}.$$

>2 jets 
$$\alpha_T = \frac{1}{2} \frac{H_T - \Delta H_T}{\sqrt{H_T^2 - (MH_T)^2}}$$

Remaining backgrounds with real MET

 W/Z+jets, ttbar+jets: estimate from data



- Signal regions are defined as:
- 8 bins in  $H_T$  (275 to  $\geq$ 875 GeV),
- 2 bins N<sub>jet</sub> (2-3, ≥4),
- 5 bins in N<sub>bjet</sub> (0,1,2,3, ≥4)



- Different backgrounds in N<sub>bjet</sub> bins:
  - 0 bjets: W+jets with lepton not identified, or W→τν, Z→νν+jets
  - 1 bjet: W/Z+jets and ttbar are comparable in contributions
  - $\circ \geq 2$  bjets: **ttbar** is the dominant background
- Build models of backgrounds from data control regions:
  - W+jets and ttbar estimated from  $\mu$ +jets; Z $\rightarrow \nu\nu$  from Z $\rightarrow \mu\mu$  and  $\gamma$ +jets
  - QCD estimated from the sideband in 0.52< $\alpha_{T}$ <0.55



### CMS-PAS-12-028

# 0-lepton final state: CMS

- No significant excess above the SM  $P_2$ 
  - Set limits on SMS models
  - Consider T2bb and T2tt
  - Exclude sbottom quarks up to **m**<sub>sbottom</sub>≈600 GeV
    - For T2tt use only  $N_{jet} ≥ 4$  and  $N_{bjet} = 1$  or  $N_{bjet} = 2$  events



 $M_R \sim \frac{M_{squark}^2 - M_\chi^2}{M_{squark}}$ 



- Devise variables to increase the sensitivity
  - o Razor variables to recast tail search into a bump-hunt
- Stops/Sbottoms are heavy → produced at threshold
  - Longitudinal boost to the frame where jets momenta are equal (*R*-frame)
- $M_R \rightarrow 2|p|$  in the *R*-frame (*a la* invariant mass), and  $M_T^R$  is transver o Define  $R = M_T^R / M_R \rightarrow$  characterizes the angle between jets

# 0-lepton final state: CMS



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# **0-lepton final state: CMS**

• Apply the razor analysis technique in a multi-box approach



# **0-lepton final state: CMS**

CMS Prejiminary vs = 7 TeV







Model independent results showing data/prediction compatibility



# **0-lepton final state: CMS**



Exclude stop masses up to **~420 GeV** for neutrualino masses of ~50 GeV

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# O-lepton final state: ATLAS-CONF-2012-165 & 2013-001

- Variables used to define signal regions: MET,  $\Delta \phi_{min'} m_{eff'} H_{T,x'} m_{CT}$
- Multijet background estimated using jet response smearing technique
  - Gaussian core of the jet response function from di-jet events
  - o non-Gaussian tails from three-jet events: MET is from mis-measurements
- top (pair and single), W/Z+bjets from control regions with 1 or 2 leptons
   Simultaneous profile likelihood fit in the control regions



# 0-lepton final state: ATLAS-CONF-2012-165 & 2013-001

- Optimized signal region definitions for various mass-splittings (∆m)
- Three sets of signal regions defined:
  - SR1 for large  $\Delta m$ : 2 b-jets (veto on third jet), large MET
    - Cut on  $m_{CT}$  to suppress backgrounds. Edge at  $(m_{sbottom}^2 m_{\chi 10}^2)/m_{sbottom}$
  - SR2 for medium  $\Delta m$ : looser than SR1 cuts, due to softer kinematics
  - SR3 for small  $\Delta m$ : select events with high  $p_T$  *non*-b-jet (ISR), two softer b-jets



### ATLAS-CONF-2012-165 & 2013-001 O-lepton final state: ATLAS

• Sensitive to sbottom and stop production (stop $\rightarrow b\chi_1^{\pm}$ )



- Target the all-hadronic decays of the stop (stop  $\rightarrow t\chi_1^0$ )
- Large MET from LSP, use as discriminant
  - 3 SR targeting different ranges of the stop mass

			Signal	tī CR	Z+jets CR	Multijet CR	
				single	two		
		Trigger	$E_{\mathrm{T}}^{\mathrm{miss}}$	electron (muon)	electron (muon)	$E_{\mathrm{T}}^{\mathrm{miss}}$	
	-	N <sub>lep</sub>	0	1	2	0	
		$p_{\mathrm{T}}^{\ell}$	< 10 (10)	> 35 (35)	> 20 (20)	< 10 (10)	
	lepton veto	$p_{T}^{ar{\ell}_2}$	_	< 10 (10)	> 20 (10)		
	-	$m_{\ell\ell}$	—	—	81 to 101	—	
	T I	N <sub>jet</sub>	$\geq 6$	$\geq 6$	$\geq 6$	$\geq 6$	
		$p_{\mathrm{T}}^{jet}$	> 80,80,35,35	> 80,80,35,35	> 80,80,35,35	> 80,80,35,35	
		N <sub>b-jet</sub>	$\geq 2$	$\geq 2$	$\geq 2$	$\geq 2$	
sig	nal selection	$m_{jjj}$	80 to 270	0 to 600	80 to 270	—	
		$E_{\rm T}^{\rm miss}$	> 200, 300, 350	> 200, 300, 350	> 70	> 160	
		$E_{\mathrm{T}}^{\mathrm{miss,track}}$	> 30	> 30	> 30	> 30	
	î	$\Delta \phi(E_{\rm T}^{ m miss}, E_{\rm T}^{ m miss, track})$	$<\pi/3$	$< \pi/3$	$<\pi/3$	$>\pi/3$	
	OCD veto	$m_{\rm T}(\ell, E_{\rm T}^{\rm miss})$		40 to 120			
		$\Delta \phi$ (jet, $E_{T}^{miss}$ )	$>\pi/5$	$>\pi/10$	$>\pi/5$	$<\pi/5$	
	· · ·	$m_{\rm T}(b\text{-jet}, E_{\rm T}^{\rm miss})$	> 175		> 175	> 175	
	top veto	Tau veto	yes	no	yes	no	
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- At high MET the dominant background is semileptonic ttbar ( $W \rightarrow \tau v$ )
  - Derive from a sample with one charged lepton; remove top veto
  - Treat the lepton as a *non*-b-jet
- Z+jets derived from  $Z \rightarrow ll$  sample: remove leptons from the event
- Multijet derived from a dijet sample with JER smearing technique



- No excess in any of the signal regions considered
  - ∘ stop pair production:  $t_1$  mostly  $t_R$  (95%), BR( $t_1 \rightarrow t\chi_1^0$ ) = 100%
  - exclude stop quarks 320<m<sub>stop</sub><660 GeV</li>

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- Target the cleaner final state with one leptons from:

   *pp* → *tt*<sup>\*</sup> → *ttx*<sup>0</sup><sup>1</sup>*x*<sup>0</sup><sup>1</sup> → *bb*W<sup>+</sup>W<sup>-</sup>*x*<sup>0</sup><sup>1</sup>*x*<sup>0</sup><sup>1</sup> 
   *pp* → *tt*<sup>\*</sup> → *bbx*<sup>+</sup>*x*<sup>-</sup><sup>1</sup> → *bb*W<sup>+</sup>W<sup>-</sup>*x*<sup>0</sup><sup>1</sup>*x*<sup>0</sup><sup>1</sup>
  - Signal looks like ttbar+MET
- Largest backgrounds: semi-leptonic ttbar and W+jets
  - Have an edge at  $M_T < M_W$  → search in the region above  $M_W$
  - Suppress ttbar background: veto events with addl. isolated tracks
  - Require at least one b-jet

		Signal Region	Minimum <i>M</i> <sub>T</sub> [GeV]	Minimum E <sub>T</sub> <sup>miss</sup> [GeV]	
Loo	se: sensitive	SRA	150	100	
to s	mall ∆m 🚽	SRB	120	150	
		SRC	120	200	
		SRD	120	250 <b>7</b>	at: consitivo
		SRE	120	300 to 12	$\frac{1}{100}$
		SRF	120	350	
		SRG	120	400	1891
				-	

- Backgrounds estimated using MC simulation
  - Validated in control regions: derive the MC scale factors
  - Normalize in 50<M<sub>T</sub><80 GeV peak region: reduce the uncertainty</li>



- Interpret results in several models
  - $\,\circ\,$  stops are generated as a 50/50 mixture of  $t_R$  and  $t_L$
  - exclude stop quarks 160<m<sub>stop</sub><430 GeV</li>



- Same final states targeted as in CMS search, similar event selection
  - $\circ$  Dedicated signal regions for various  $\Delta m$  hypotheses
  - Loosest selection for small  $\Delta m$ :
    - use a 2D shape fit in MET-M<sub>T</sub> plane to increase sensitivity
  - tag one b-jet, identify one all-hadronic top candidate
- Backgrounds estimated from control regions in data





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### CMS-PAS-12-029

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# 2-lepton final state: CMS

- Select same-sign (SS) di-leptons + b-jets:
  - very rare in SM, sensitive to  $\tilde{b}_1 \to t \tilde{\chi}_1^-$  and  $\tilde{\chi}_1^- \to W^- \tilde{\chi}_1^0$
- Select events with 2 SS, high p<sub>T</sub> isolated e/µ leptons and ≥2 jet
   Require 2 b-jets to suppress dominant background (ttbar)
- Misidentified leptons are main background
  - HF decay, misidentified hadrons, muons from meson DIF, electrons from conversions, or charge "flips": extrapolation method in lepton ID/iso

No. of jets	≥ 2	≥ 2	≥ 2	$\geq 4$	$\geq 4$	$\geq$ 4	$\geq 4$	≥ 3	$\geq$ 4
No. of btags	≥ 2	$\geq 2$	≥ 2	≥ 2	≥ 2	$\geq 2$	≥ 2	$\geq$ 3	$\geq$ 2
Lepton charges	++/	++/	++	++/	++/	++/	++/	++/	++/
$E_{\mathrm{T}}^{\mathrm{miss}}$	> 0 GeV	> 30 GeV	> 30 GeV	> 120 GeV	> 50 GeV	> 50 GeV	> 120 GeV	> 50 GeV	> 0 GeV
$H_{\rm T}$	> 80 GeV	> 80 GeV	> 80 GeV	> 200 GeV	> 200 GeV	> 320 GeV	> 320 GeV	> 200 GeV	> 320 GeV
Charge-flip BG	$3.35\pm0.67$	$2.70\pm0.54$	$1.35\pm0.27$	$0.04\pm0.01$	$0.21\pm0.05$	$0.14\pm0.03$	$0.04\pm0.01$	$0.03\pm0.01$	$0.21\pm0.05$
Fake BG	$24.77 \pm 12.62$	$19.18\pm9.83$	$9.59 \pm 5.02$	$0.99\pm0.69$	$4.51 \pm 2.85$	$\textbf{2.88} \pm \textbf{1.69}$	$0.67\pm0.48$	$0.71\pm0.47$	$4.39 \pm 2.64$
Rare SM BG	$11.75\pm5.89$	$10.46\pm5.25$	$6.73 \pm 3.39$	$1.18\pm0.67$	$3.35 \pm 1.84$	$2.66 \pm 1.47$	$1.02\pm0.60$	$0.44\pm0.39$	$3.50 \pm 1.92$
Total BG	$39.87 \pm 13.94$	$32.34 \pm 11.16$	$17.67\pm6.06$	$2.22\pm0.96$	$8.07 \pm 3.39$	$5.67 \pm 2.24$	$1.73\pm0.77$	$1.18\pm0.61$	$8.11 \pm 3.26$
Event yield	43	38	14	1	10	7	1	1	9
N <sub>UL</sub> (13% unc.)	27.2	26.0	9.9	3.6	10.8	8.6	3.6	3.7	9.6
$N_{UL}$ (20% unc.)	28.2	27.2	10.2	3.6	11.2	8.9	3.7	3.8	9.9
$N_{UL}$ (30% unc.)	30.4	29.6	10.7	3.8	12.0	9.6	3.9	4.0	10.5

#### CMS-PAS-12-029

# 2-lepton final state: CMS



## 3<sup>rd</sup> generations searches summary



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## Prospects with HL-LHC

• Projection for HL-LHC sensitivity assuming realistic running conditions and no improvement on the analyses



# Conclusion

- A broad search program for 3<sup>rd</sup> generation direct production
  - Many novel approaches, new variables, search regions, final states
  - No excesses observed so far
  - Probe stop/sbottom masses up to ~500-600 GeV
- Several scenarios where stop/sbottom may have eluded detection in existing searches
  - Stops with mass near top quarks, or mass > 500 GeV
  - Compressed spectra, e.g. stop $\rightarrow$ top+ $\chi$ , with small  $\Delta$ m=m<sub>stop</sub>-m<sub> $\chi$ </sub>
  - Consider other decays: stop $\rightarrow$ c $\chi$ , higgs, taus
  - Boosted stops reconstruction, to reach higher masses
- Many new analysis in the pipeline, stay tuned













- When correcting for luminosity and  $\sqrt{s}$ , the ATLAS limit covers more of the  $t \rightarrow t \chi^0$  space for 2 reasons:
  - O 1) Different signal model: CMS signal model has unpolarized tops from t→t χ<sup>0</sup>. ATLAS signal model has top quarks which are mostly right-handed. This choice increases the large lepton p<sub>T</sub> and M<sub>T</sub>(ℓ,MET) acceptance because it causes the lepton to be emitted preferentially parallel to the top boost. We estimate the size of this effect to be ~25%.
  - 2) Tuned kinematical requirements: The most important one appears to be the hadronic top reconstruction. This is not currently implemented in the CMS in order to maintain sensitivity to both the t  $\rightarrow$  t  $\chi^0$  and t  $\rightarrow$  b  $\chi^{\pm}$  decay modes.

## **ATLAS stop combination**





- Where did the particle originate from? → **tracking detectors** 
  - Long-lived particles travel substantial distance before decaying
  - For precise reconstruction of objects' P<sub>T</sub> need to know origin precisely



- Momenta of the particles → tracking detectors and magnet
  - The higher the magnetic field, the better we can measure: R = p/(qB)
  - CMS magnetic field: **3.8 Tesla**



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- Energy of all particles produced in the collision
  - Photons and pions measured in **electromagnetic calorimeters**
  - homogeneous Lead-Tungstate crystal





- Energy of all particles produced in the collision
  - Strongly interacting hadrons measured in hadronic calorimeter



- Muon detectors at the outermost edges of the detector
  - Negligible energy loss in the calorimeters: minimum ionizing particles
  - Combine measurements in the inner tracker with hits in the outermost



# Drift tubes, CSC + RPC $\sigma(P_T) \sim 13\% / 4.5\%$ (standalone/with tracker) for 1TeV $\mu$



## The third generation: how?

### • **Particle Flow (PF)** technique for global event reconstruction

- Charged particles : ~60% (**Tracker**)  $\rightarrow$  Charged  $\pi$ , Ks and  $\gamma$ s, some electrons and  $\mu$ s
- Photons : ~25% (**ECAL**)  $\rightarrow$  Mostly from  $\pi^0$
- Long-lived neutral hadrons : ~10% (**HCAL**)  $\rightarrow$  K<sup>0</sup><sub>L</sub>, neutrons
- Short-lived neutral hadrons : ~5% (**Tracker**)  $\rightarrow K_{S}^{0} \rightarrow \pi^{+}\pi^{-}$ ,  $\Lambda \rightarrow \pi^{-}p$ ,  $\gamma$  conversions, nuclear interactions in the detector material.



- Factorized approach to set the jet energy scale
- L1: derived from from zero-bias data and MC simulations
- L2L3: obtained from MC; residual differences corrected from Z and  $\gamma$ +jet
  - The response of different flavors is within the 2-3% of QCD flavor mixture.



- Uncertainties in the jet energy corrections come from different sources
  - Physics modeling in MC (showering, underlying event, etc.)
  - MC modeling of detector response and properties (noise, etc.)
- 16 sources of sub-uncertainties
  - Main uncertainty sources in  $|\eta| < 1.3$ : pile up, jet flavor, and extrapolation.
  - In 2.5 <  $|\eta|$  < 3: time dependence and out-of-time pile up.



- Jet resolution: important to achieve good data/MC agreement
  - Affects not only jets, but also any analysis with MET: need to smear MC jets
- Measure from data using dijet and  $\gamma$ +jet events



## Event reconstruction: *b*-tagging

- Exploit specific characteristics of b-hadrons
  - Lifetime ~1.5 ps ( $c\tau$  = 450 µm); p~20 GeV/c → decay length ~1.8 mm.
  - The high mass of ~5.2 GeV and a decay multiplicity of ~5 charged tracks.
  - $\circ$  High  $p_T$  of decay products, relative to the flight direction of b-hadrons.
  - The semi-leptonic decays, branching fraction of ~11 %
- Variety of algorithms based on variables such as
  - the impact parameters of chargedparticle tracks
  - properties of reconstructed decay vertices, the presence/absence of a lepton
  - neural network using the output weights of the IP3D, JetFitter+IP3D, and SV1 algorithms (ATLAS)

