



# *The EFT of inflation: new shapes of NG and consistency relation*

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based on:

P. Creminelli, G. D'A., J. Noreña, M. Musso, E. Trincherini, *JCAP* 1102:006 [arXiv:1011.3004]

P. Creminelli, G. D'A., J. Noreña, M. Musso, *to appear*

# Outline

- Effective theory of inflation
- Galilean symmetry and action for perturbations
- New shapes of non-Gaussianities
- Four-point function
- The not-so-squeezed limit of the bispectrum
- A better template for data analysis/simulations

# Standard approach

Usual approach to inflation:

1) Build a Lagrangian for a scalar field:  $\mathcal{L}(\phi, \partial_\mu \phi, \square \phi, \dots)$

2) Solve EOM of scalar + FRW to find an inflating solution  $\ddot{a} > 0$

$$\phi = \phi_0(t) \quad ds^2 = -dt^2 + a^2(t)d\vec{x}^2$$

3) Expand in perturbations around this solution

$$\phi(t, \vec{x}) = \phi_0(t) + \delta\phi(t, \vec{x}) \quad g_{\mu\nu} = g_{\mu\nu}^{\text{FRW}} + \delta g_{\mu\nu}$$

4) Solve equations, work out predictions

# The EFT for inflation

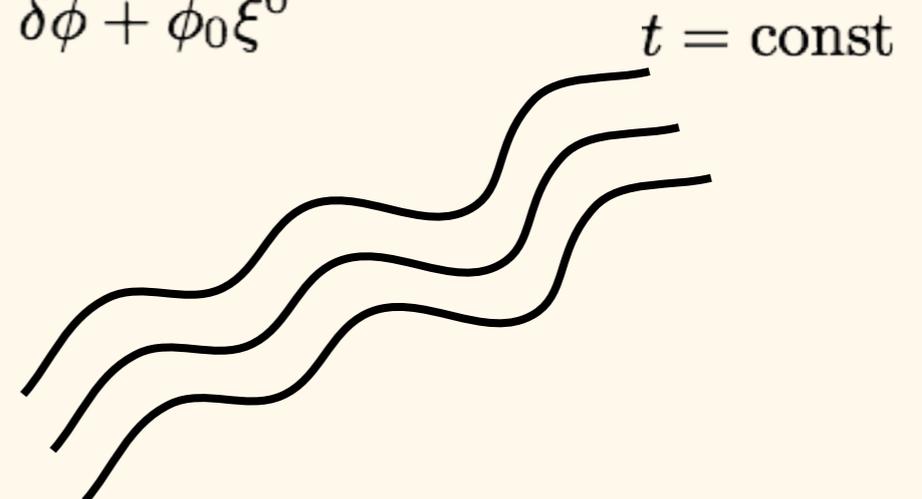
Cheung et al. 2007

We can **focus directly on the theory of perturbations** around quasi de Sitter bkg

- Bkg solution (quasi-dS) spontaneously breaks time diffs

$$t \rightarrow t + \xi^0(t, \vec{x}) \Rightarrow \delta\phi \rightarrow \delta\phi + \dot{\phi}_0 \xi^0$$

- Can choose unitary gauge  $\delta\phi = 0$   
The graviton describes 3 degrees of freedom, like in a broken gauge theory.



- The most general action in unitary gauge is constructed in terms of invariants of the 3D time slices:

$$S = \int dt d^3x \sqrt{-g} \left[ \underbrace{\frac{M_{\text{Pl}}^2}{2} R + M_{\text{Pl}}^2 \dot{H} g^{00} - M_{\text{Pl}}^2 (3H^2 + \dot{H})}_{\text{Slow-roll}} + \underbrace{\frac{M_2^4(t)}{2} (g^{00} + 1)^2 + \frac{M_3^4(t)}{2} (g^{00} + 1)^3}_{\text{DBI, P(X)}} + \dots - \underbrace{\frac{\bar{M}_2^2(t)}{2} \delta K^2}_{\text{Ghost infl.}} + \dots \right]$$

# Reintroducing the Goldstone

Stueckelberg trick: perform a broken time diff and promote the parameter to a field

$$t \rightarrow \tilde{t} = t + \xi^0(x) \quad \xi^0(x) \rightarrow -\pi(x)$$

Simple example (slow-roll inflation):

$$\int d^4x \sqrt{-g} [A(t) + B(t)g^{00}] \rightarrow \int d^4x \sqrt{-g} [A(t + \pi(x)) + B(t + \pi(x)) \frac{\partial(t + \pi(x))}{\partial x^\mu} \frac{\partial(t + \pi(x))}{\partial x^\nu} g^{\mu\nu}(x)]$$

Diff-invariant if  $\pi$  transforms non-linearly:

$$\pi(x) \rightarrow \pi(x) - \xi^0(x)$$

Decoupling limit: at high energy, no mixing with gravity!

$$S_\pi = \int d^4x \left[ \frac{1}{2} M_{\text{Pl}}^2 R - M_{\text{Pl}}^2 \dot{H} \left( \dot{\pi}^2 - \frac{(\partial_i \pi)^2}{a^2} \right) + 2M_2^4 \left( \dot{\pi}^2 + \dot{\pi}^3 \left[ -\dot{\pi} \frac{(\partial_i \pi)^2}{a^2} \right] \right) - \frac{4}{3} M_3^4 \dot{\pi}^3 + \dots \right]$$

$$c_s^{-2} = 1 - \frac{2M_2^4}{M_{\text{Pl}}^2 \dot{H}}$$

Large NG from small  $c_s$

# Validity of the EFT

Effective theory is valid for  $H \ll \Lambda$

We probe small fluctuations  $\phi_0(t + \pi(t, \vec{x}))$   $H\pi = -\zeta \simeq 10^{-5}$

Cosmological perturbations probe the theory at  $E \sim H$

No need to solve for background to work out predictions!

We are interested in theories of the form

$$M_{\text{Pl}}^2 \dot{H} (\partial\pi)^2 + M (\partial^2\pi)^3 + \dots$$

We want cubic term to be of order  $\sim 10^{-3}$  the kinetic one.

In the  $H\pi \gg 1$  regime, we would have  $10^5/\epsilon$  boost  $\rightarrow$  outside the EFT!

Higher derivative terms *must* be small and *must* be evaluated on the lowest order e.o.m.

We cannot change the number of degrees of freedom.

# Galilean symmetry

Nicolis, Rattazzi, Trincherini 2008

Shift symmetry on the gradient of a scalar

$$\phi \rightarrow \phi + b_\mu x^\mu + c$$

Lowest derivative galileons give 2nd order e.o.m!

$$\mathcal{L} \sim (\partial\phi)^2 (\partial^2\phi)^n, \quad n \leq 3$$

Use these operators for an inflationary lagrangian (Burrage et al. 2010).

Bkg quite different from slow-roll, large non-Gaussianities given by **cubic operators with 4 derivatives...**

$$\ddot{\pi}\dot{\pi}^2, \quad \dot{\pi}^2\nabla^2\pi, \quad \dot{\pi}\nabla\dot{\pi}\nabla\pi, \quad \ddot{\pi}(\nabla\pi)^2, \quad \nabla^2\pi(\nabla\pi)^2$$

... but all these operators are equivalent to the ones with 3 derivatives arising in standard models

Non-minimal galileons, at least 2 derivatives per field.

**Is the effective theory consistent? YES!**

**Do we have interesting predictions? YES!**

# Building up the action

Perturbations endowed with a Galilean symmetry, which non-linearly realize Lorentz symmetry

Difficult to use the geometrical language.

Useful to introduce a “fake” scalar which linearly realizes Lorentz symmetry

$$\psi(t, \vec{x}) \equiv t + \pi(t, \vec{x})$$

Starting from 2 derivatives per field, do we generate the minimal galileons in curved spacetime?

$R(\partial\psi)^2\partial^2\psi$  is not generated

We will have at least the suppressed  $R^2(\partial\psi)^2\partial^2\psi$

## Building up the action (2)

Lorentz invariant operators for  $\psi$  are products of traces of the matrix  $\nabla_\mu \nabla_\nu \psi$

We need to subtract from each trace its bkg value.

So we need to worry about single traces, which can change the tadpole terms:  $[\Psi^n]$

Consider the sum, which contains the single trace operators

$$\sum_p (-1)^p g^{\mu_1 p(\nu_1)} \dots g^{\mu_n p(\nu_n)} \nabla_{\mu_1} \nabla_{\nu_1} \psi \dots \nabla_{\mu_n} \nabla_{\nu_n} \psi$$

For  $n > 3$ , we have too many indices and single traces are just products of shorter ones. Otherwise, we have a total derivative in Minkowski, which in de Sitter gives the minimal galileons:

$$H^2 \sum_p (-1)^p g^{\mu_1 p(\nu_1)} \dots g^{\mu_n p(\nu_n)} \nabla_{\mu_1} \psi \nabla_{\nu_1} \psi \nabla_{\mu_2} \nabla_{\nu_2} \psi \dots \nabla_{\mu_n} \nabla_{\nu_n} \psi$$

It is consistent to study the theory with all traces of  $\Psi$ , except the single traces, plus the minimal cubic and quartic Galileons, suppressed by  $H^2$

# New operators

$$([\Psi \dots \Psi] - c_1)([\Psi \dots \Psi] - c_2) \longrightarrow (\delta^{ij} \nabla_i \nabla_j \pi)^3$$

$$([\Psi \dots \Psi] - c_3)([\Psi \dots \Psi] - c_4)([\Psi \dots \Psi] - c_5) \longrightarrow \begin{aligned} & \nabla^2 \pi (\nabla_i \nabla_j \pi)^2 \\ & \nabla^2 \pi (\nabla_i \nabla_\mu \pi)^2 \\ & \nabla^2 \pi (\nabla_\mu \nabla_\nu \pi)^2 \end{aligned}$$

There is enough freedom to make these independent from quadratic operators.

We can check the mixing with gravity is subleading in slow-roll.

Final action has only 3 independent cubic operators:

$$S = \int d^4x a^3 \left[ -M_{\text{Pl}}^2 \dot{H} \left( \dot{\pi}^2 - \frac{(\partial_i \pi)^2}{a^2} \right) + M_1 \ddot{\pi}^3 + M_2 \ddot{\pi} \frac{(\partial_i \dot{\pi} - H \partial_i \pi)^2}{a^2} \right. \\ \left. + M_3 \left( \ddot{\pi} \frac{(\partial_i \partial_j \pi)^2}{a^4} - 2H \dot{\pi} \ddot{\pi}^2 + 3H^3 \dot{\pi}^3 \right) \right]$$

# Non Gaussianities

Almost free field in Bunch-Davies vacuum  $\rightarrow$  almost Gaussian perturbations

Non Gaussianities of paramount importance to discriminate different models

With EFT, approach very similar to particle physics (EWPT):  
measure observables, constrain operators

What is the best observable? **Bispectrum** in Fourier space of a conserved quantity

$$\langle \zeta(\vec{k}_1)\zeta(\vec{k}_2)\zeta(\vec{k}_3) \rangle = (2\pi)^3 \delta_D(\vec{k}_1 + \vec{k}_2 + \vec{k}_3) B(k_1, k_2, k_3)$$

The function B is approximately homogeneous of degree -6.

In this scale-invariant limit, it depends just on two ratios of lengths of 3-momenta:

$$B(k_1, k_2, k_3) = k_1^6 B(1, r_2, r_3)$$

# The shape of non Gaussianities

Babich, Creminelli, Zaldarriaga 2004

In the scale-invariant limit, we need just 1 number to specify the PS.

Instead, the bispectrum is a 2-d function. Different operators  $\rightarrow$  different shapes!

How do we measure the non Gaussianity?

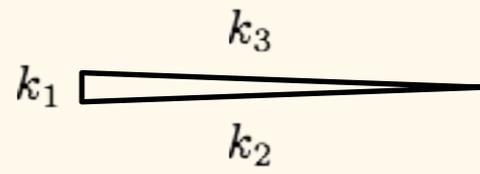
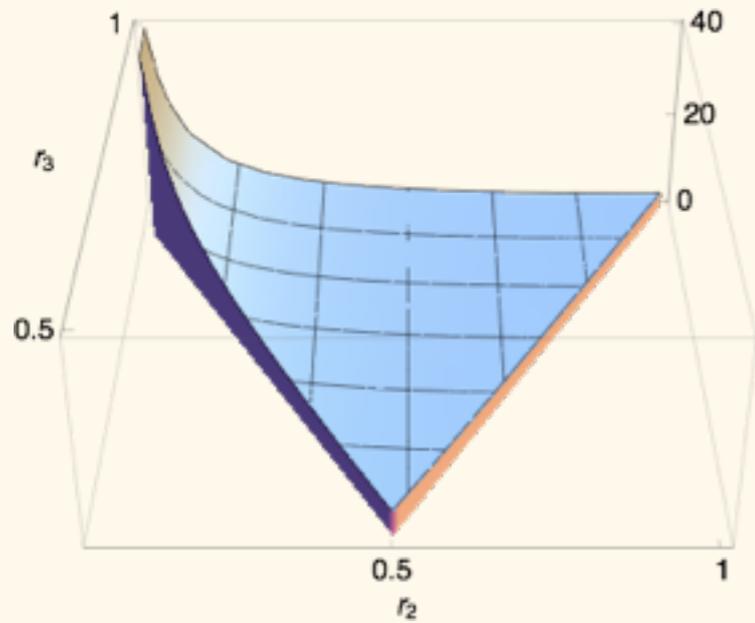
$$\hat{f}_{NL} = \frac{\sum_{\vec{k}_i} \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \zeta_{\vec{k}_3} B(\vec{k}_1, \vec{k}_2, \vec{k}_3) / (\sigma_{k_1}^2 \sigma_{k_2}^2 \sigma_{k_3}^2)}{\sum_{\vec{k}_i} B(\vec{k}_1, \vec{k}_2, \vec{k}_3)^2 / (\sigma_{k_1}^2 \sigma_{k_2}^2 \sigma_{k_3}^2)}$$

This suggests to quantify how similar are 2 shapes. Scalar product of bispectra:

$$B_1 \cdot B_2 = \int dr_2 dr_3 r_2^4 r_3^4 B_1(1, r_2, r_3) B_2(1, r_2, r_3)$$

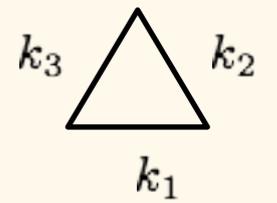
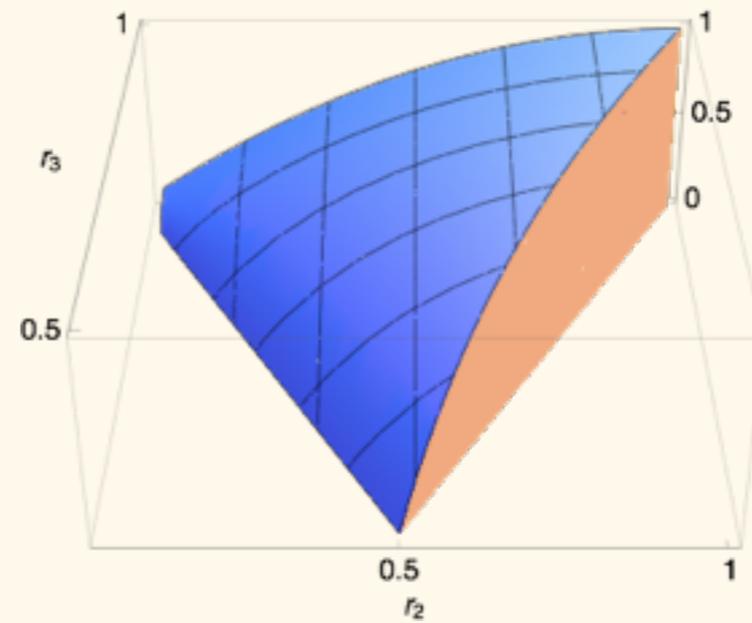
Cosine of bispectra:  $\cos(B_1, B_2) = \frac{B_1 \cdot B_2}{(B_1 \cdot B_1)^{1/2} (B_2 \cdot B_2)^{1/2}}$

# Shapes of non Gaussianities



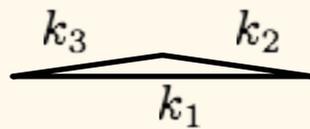
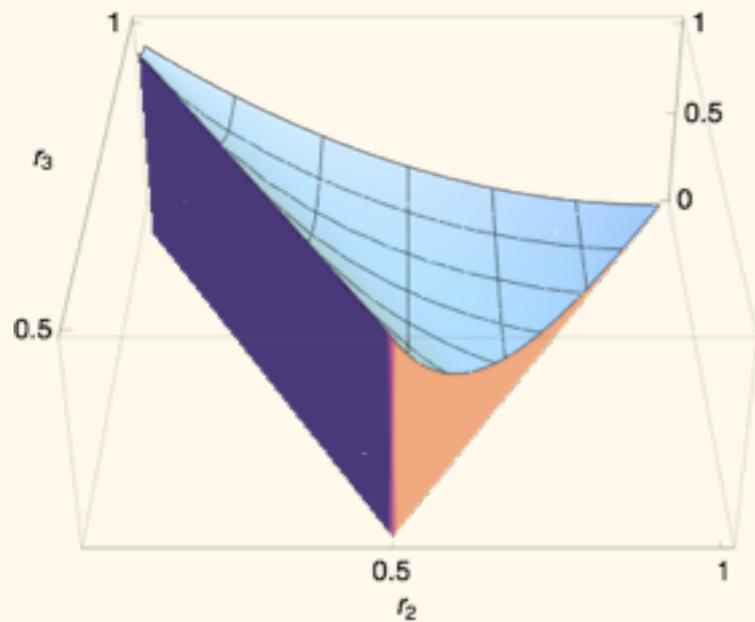
Local

$$\pi^3$$



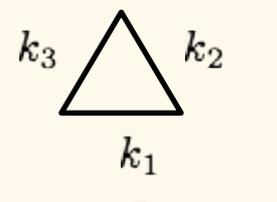
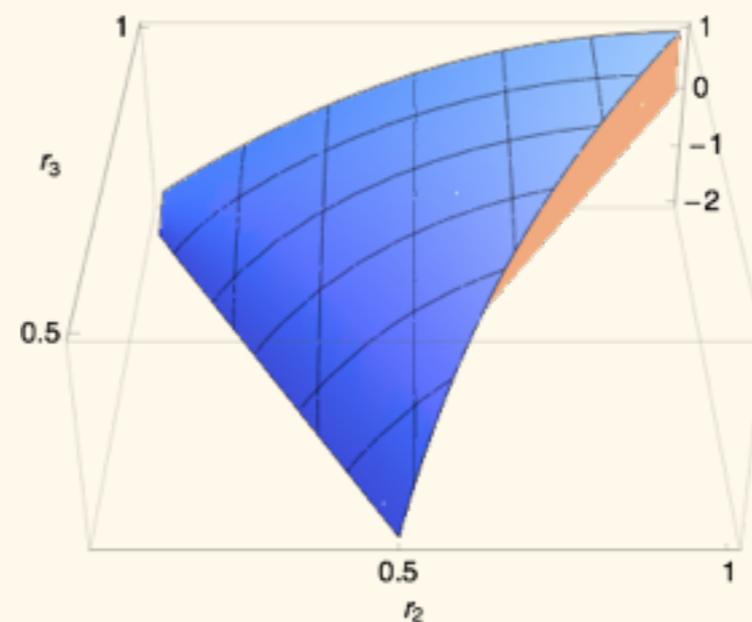
Equilateral

$$(\partial\pi)^3$$



Enfolded

Modified vacuum



Orthogonal

$$\dot{\pi} \frac{(\partial_i \pi)^2}{a^2} + 5.4 \frac{2}{3} \dot{\pi}^3$$

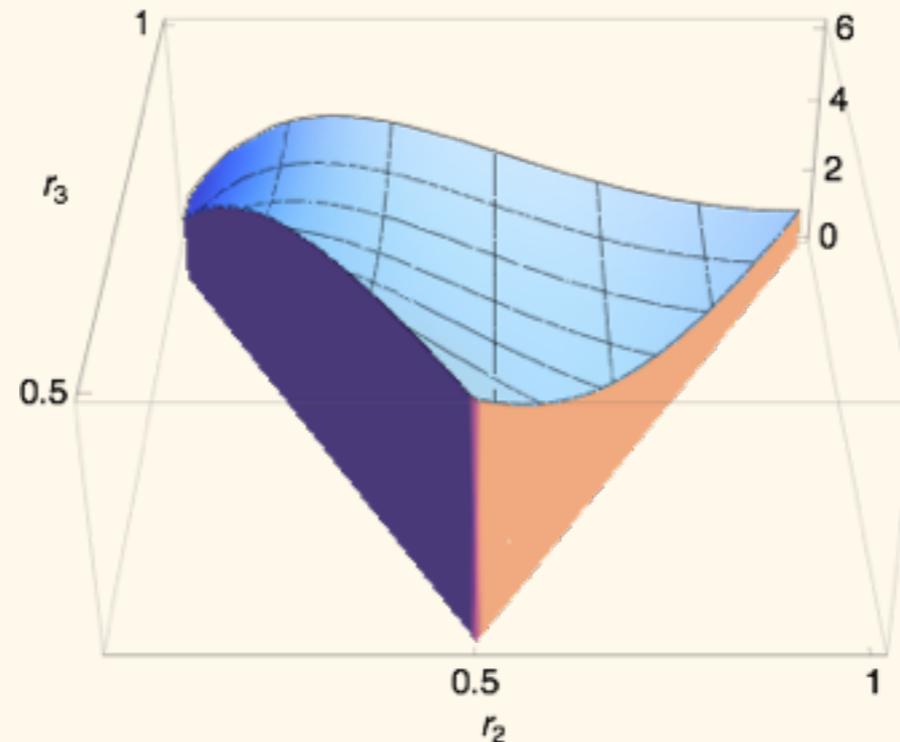
# New shapes: $M_3$ operator

Standard EFT operator give equilateral and orthogonal shapes

$$S = \int d^4x a^3 \left[ -M_{\text{Pl}}^2 \dot{H} \left( \dot{\pi}^2 - \frac{(\partial_i \pi)^2}{a^2} \right) + M_1 \ddot{\pi}^3 + M_2 \ddot{\pi} \frac{(\partial_i \dot{\pi} - H \partial_i \pi)^2}{a^2} \right. \\ \left. + M_3 \left( \ddot{\pi} \frac{(\partial_i \partial_j \pi)^2}{a^4} - 2H \dot{\pi} \ddot{\pi}^2 + 3H^3 \dot{\pi}^3 \right) \right]$$

$M_1$  and  $M_2$  operators give equilateral shape

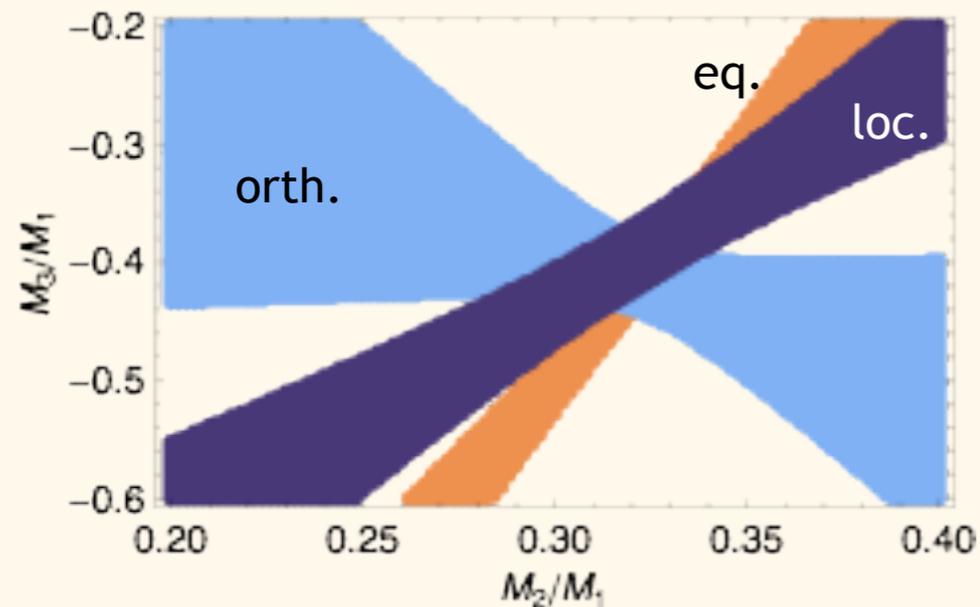
However,  $M_3$  gives a “surfing” non Gaussianity



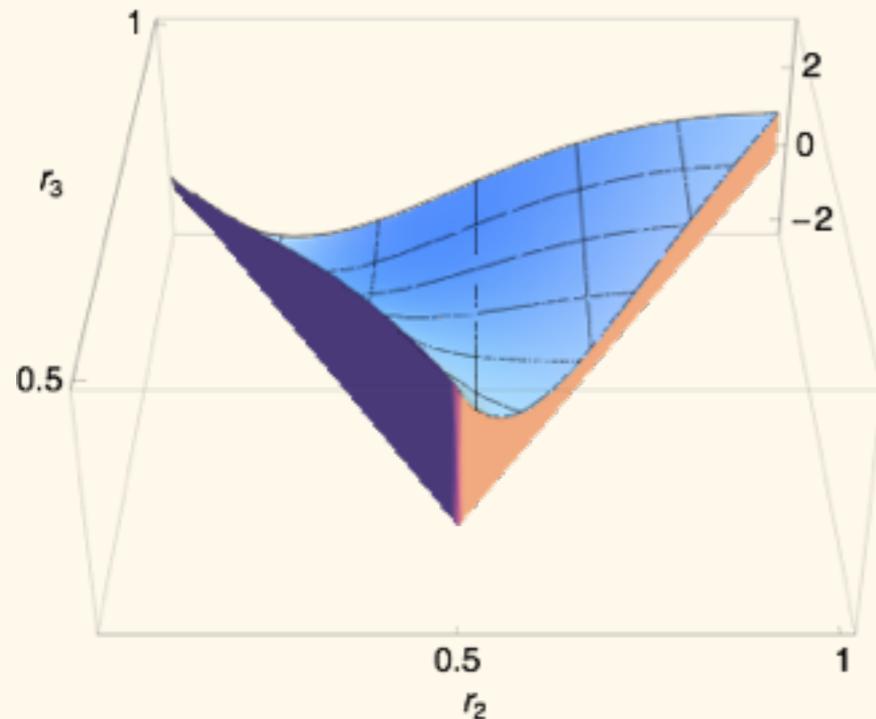
# New shapes: orthogonal to standard ones

Orthogonal shape is found by tuning coefficients requiring small cosines with local and equilateral

Can we extend the space of shapes with our new operators? YES



| Template    | Cosine |
|-------------|--------|
| Local       | -0.15  |
| Equilateral | 0.03   |
| Orthogonal  | 0.06   |
| Enfolded    | -0.03  |



Look where  $|\cos| < 0.2$

Intersection point at

$$M_2 = 0.32 M_1, M_3 = -0.42 M_1$$

This would require a dedicated template...

# Constraints on parameters

Using the analysis of Smith et al. (2010) and WMAP7, we can put constraints on  $M_i$

Choose equilateral template for  $M_1$  and  $M_2$ :  $f_{NL}^{\text{eq}} \equiv \frac{k^6}{6\Delta_{\Phi}^2} B(k, k, k)$

$$f_{NL}^{\text{eq}} = 26 \pm 140 \text{ (68\% CL)} \quad \longrightarrow \quad \frac{M_1 H}{\epsilon M_{\text{Pl}}^2} = 240 \pm 1280 \quad \frac{M_2 H}{\epsilon M_{\text{Pl}}^2} = -80 \pm 470$$

For  $M_3$  we can use enfolded template ( $\cos = 0.94$ ):  $f_{NL}^{\text{enf}} \equiv \frac{k^6}{96\Delta_{\Phi}^2} B(k, k/2, k/2)$

$$f_{NL}^{\text{enf}} = 114 \pm 72 \text{ (68\% CL)} \quad \longrightarrow \quad \frac{M_3 H}{\epsilon M_{\text{Pl}}^2} = 830 \pm 530$$

# Four point function

Standard EFT:  $\mathcal{L}_{1-\partial} = (\partial\pi_c)^2 + \frac{1}{\Lambda^2}(\partial\pi_c)^3 + \frac{1}{\Lambda^4}(\partial\pi_c)^4 + \dots$

$$\text{NG}_3 \equiv \frac{\langle \zeta^3 \rangle}{\langle \zeta^2 \rangle^{3/2}} \simeq \frac{\mathcal{L}_3}{\mathcal{L}_2} \Big|_{E \sim H} \simeq \left( \frac{H}{\Lambda} \right)^2 \quad \text{NG}_4 \equiv \frac{\langle \zeta^4 \rangle}{\langle \zeta^2 \rangle^2} \simeq \frac{\mathcal{L}_4}{\mathcal{L}_2} \Big|_{E \sim H} \simeq \left( \frac{H}{\Lambda} \right)^4$$

$$\implies \text{NG}_4 \sim \text{NG}_3^2$$

Non-minimal galilean action:  $\mathcal{L} = (\partial\pi_c)^2 + \frac{1}{\Lambda^2}(\partial^2\pi_c)^2 + \frac{1}{\Lambda^5}(\partial^2\pi_c)^3 + \frac{1}{\Lambda^8}(\partial^2\pi_c)^4 + \dots$

$$\text{NG}_3 \simeq \left( \frac{H}{\Lambda} \right)^5 \quad \text{NG}_4 \simeq \left( \frac{H}{\Lambda} \right)^8$$

$$\implies \text{NG}_4 \sim \text{NG}_3^{8/5}$$

For a given cubic NG our model predicts a bigger 4 pt function

Usual parametrization:  $\text{NG}_3 \simeq f_{\text{NL}} \Delta_\zeta^{1/2} \quad \text{NG}_4 \simeq \tau_{\text{NL}} \Delta_\zeta$

$$f_{\text{NL}} = 100 \text{ implies } \tau_{\text{NL}} \sim 10^4 \text{ vs. } \tau_{\text{NL}} \sim 10^5$$

# Consistency relation

Maldacena 2002  
Creminelli, Zaldarriaga 2004  
Cheung et al. 2007

Squeezed limit in single-field models: one of the modes is already a classical bkg when the other two exit the horizon

$$\langle \zeta_B(\vec{k}_1) \zeta(\vec{k}_2) \zeta(\vec{k}_3) \rangle \simeq \langle \zeta_B(\vec{k}_1) \langle \zeta(\vec{k}_2) \zeta(\vec{k}_3) \rangle_B \rangle \quad k_1 \ll k_S$$

The long mode acts just as a rescaling of the coordinates

$$\langle \zeta(\vec{x}_2) \zeta(\vec{x}_3) \rangle_B = \xi(\vec{x}_2 - \vec{x}_3) \simeq \xi(\vec{x}_3 - \vec{x}_2) + \zeta_B(\vec{x}_+) (\vec{x}_3 - \vec{x}_2) \cdot \nabla \xi(\vec{x}_3 - \vec{x}_2)$$

Going back to Fourier space we get the consistency relation

$$\langle \zeta(\vec{k}_1) \zeta(\vec{k}_2) \zeta(\vec{k}_3) \rangle \simeq -(2\pi)^3 \delta(\vec{k}_1 + \vec{k}_2 + \vec{k}_3) P(k_1) P(k_S) \frac{d \ln(k_S^3 P(k_S))}{d \ln k_S}$$

# The not-so-squeezed limit

Creminelli, G D'A, Noreña, Musso, *to appear*

At lowest order in derivatives

$$S_2 + S_3 = M_{\text{Pl}}^2 \int d^4x \epsilon a^3 \left[ (1 + 3\zeta_B) \dot{\zeta}^2 - (1 + \zeta_B) \frac{(\partial_i \zeta)^2}{a^2} \right]$$

Long mode reabsorbed by coordinate rescaling  $\vec{x} \rightarrow e^{\zeta_B} \vec{x}$

Corrections:

- Time evolution of  $\zeta$  is of order  $k^2$
- Spatial derivatives will be symmetrized with the short modes, giving  $k^2$
- Constraint equations give order  $k^2$  corrections

Final result: in the not-so-squeezed limit we have

$$\langle \zeta(\vec{k}_1) \zeta(\vec{k}_2) \zeta(\vec{k}_3) \rangle \simeq -(2\pi)^3 \delta(\vec{k}_1 + \vec{k}_2 + \vec{k}_3) P(k_1) P(k_S) \left[ \frac{d \ln(k_S^3 P(k_S))}{d \ln k_S} + \mathcal{O}\left(\frac{k_L^2}{k_S^2}\right) \right]$$

# Why is this important? (1)

LSS is a powerful probe of NG.

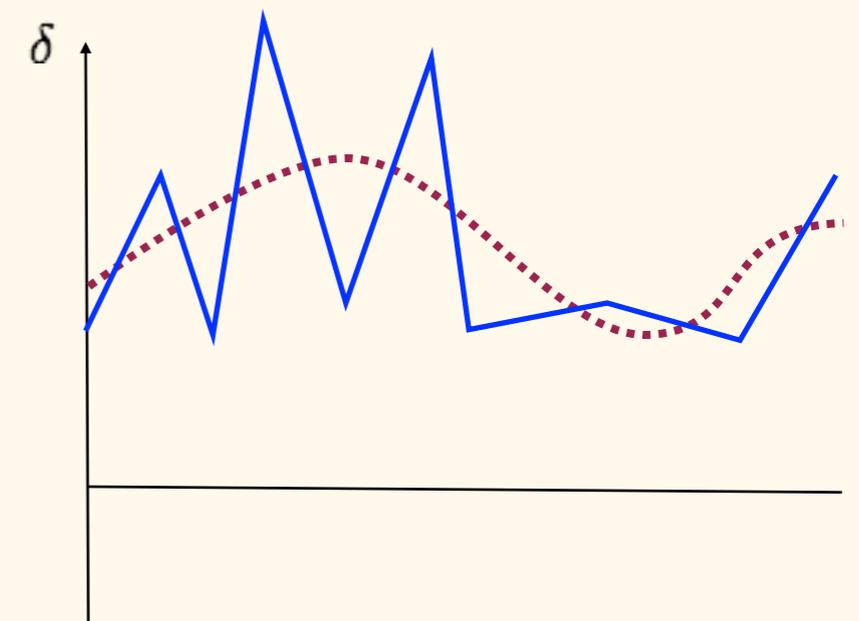
One important observable: large scale **bias** (Dalal et al. 2007)

Galaxy formation in a nutshell:

When the overdensity in a certain region of space is larger than a threshold, the halo collapses and virializes.

Local NG induces a correlation between large scale and small scale perturbations, modifies the relation among halo and matter perturbations.

$$b_h(k) \sim \frac{\delta_h(k)}{\delta_m(k)}$$



## Why is this important? (2)

Bias on large scales goes to a constant.

Corrections induced by NG (Matarrese & Verde 2008, Slosar et al. 2008):

$$\frac{\Delta b_h(k)}{b_h} \sim \frac{1}{\mathcal{M}_R(k)} \int dk_1 k_1^2 \mathcal{M}_R(k_1) \int_{-1}^1 d\mu \mathcal{M}_R(|\vec{k} + \vec{k}_1|) \frac{B_\phi(k_1, k, |\vec{k} + \vec{k}_1|)}{P_\phi(k)}$$

$$\mathcal{M}_R(k) \sim W_R(k) T(k) k^2$$

Therefore, on large scales, for local NG,  $\frac{\Delta b_h}{b_h} \sim \frac{f_{\text{NL}}}{k^2}$

The large scale bias is **very sensitive to the squeezed limit** of the bispectrum.  
A detection of bias going as  $k^{-1}$  would **rule out all single field models!**

# A new template

Analysis of CMB is performed by using a sum of factorizable monomials in  $k$ 's.  
We choose the ones with a cosine close to unity w.r.t. the physical shape.

However, orthogonal and enfolded templates go to a constant in the squeezed limit, which is unphysical (Creminelli, G.D'A. Musso, Noreña, *to appear*).

For LSS observations, this gives wrong results! (e.g. bias at large scales)

Solution: we can introduce  $k^{-4}$  monomials and cancel divergences in the squeezed limit!

$$F_1(k_1, k_2, k_3) = \frac{16}{9 k_1 k_2 k_3^4} + \frac{k_1^2}{9 k_2^4 k_3^4} - \frac{1}{k_1^2 k_3^4} - \frac{1}{k_2^2 k_3^4} + \text{cycl.}$$

$$F_2(k_1, k_2, k_3) = \frac{1}{k_1^3 k_2^3} - \frac{1}{k_1 k_2^2 k_3^3} - \frac{1}{k_2 k_1^2 k_3^3} + \text{cycl.} \quad F_3(k_1, k_2, k_3) = \frac{1}{k_1^2 k_2^2 k_3^2}$$

$$F_\alpha(k_1, k_2, k_3) = N f_{\text{NL}} \Delta_\Phi^2 [\alpha F_1 + F_2 + 2(1 + \alpha) F_3]$$

| Model | $\alpha$ | $ \cos $ |
|-------|----------|----------|
| $M_3$ | 0.71     | 0.95     |
| orth. | 0.55     | 0.98     |
| enf.  | 0.60     | 0.98     |
| eq.   | 0        | 1        |

# Conclusions and future work

- Additional operators in the EFT Lagrangian
- New shapes for the 3-point function
- Potentially large 4-point function
- New (1-parameter) template which goes to 0 in the squeezed limit
- Shape orthogonal to everything: put constraints on this?
- Initial conditions for LSS simulations using the new template

*Thank you!*