

# Supergravity

# Gravity: on-shell

Einstein gravity  $\leftrightarrow$  gauge theory of local Lorentz/translation symmetry  
generators  $M_{ab}, P_a \leftrightarrow$  “gauge fields”  $\omega_\mu^{ab}$ , spin connection,  $e_\mu^a$  *vierbein*  
where  $a, b = 0, \dots, 3$  are Lorentz gauge group indices

$\mu, \nu = 0, \dots, 3$  are spacetime indices

$e_\mu^a$  and  $\omega_\mu^{ab}$  transform as collections of vectors

gauge fields  $\leftrightarrow$  field strengths  $R_{\mu\nu}^{ab}$ , (Riemann curvature),  $C_{\mu\nu}^a$ , (torsion)

$C_{\mu\nu}^a = 0$ , solve for  $\omega_\mu^{ab}$  in terms of  $e_\mu^a$

counting:  $e_\mu^a$  16 components

subtract 4 (equations of motion)

subtract 4 (local translation invariance)

subtract 6 (local Lorentz invariance)

leaves 2 degrees of freedom: massless spin-2 particle

# Gravity: on-shell

Couplings to matter:

$$\nabla_\mu = \partial_\mu - e_\mu^a P_a - \omega_\mu^{ab} M_{ab}$$

field strengths can be obtained from

$$\nabla_\mu \nabla_\nu - \nabla_\nu \nabla_\mu$$

Writing

$$e = |\det e_\mu^m|$$

invariant action with only two derivatives is linear in the field strength:

$$S_{\text{GR}} = \frac{M_{\text{Pl}}^2}{2} \int d^4x e \epsilon^{\mu\nu\rho\lambda} \epsilon_{abcd} e_\mu^a e_\nu^b R_{\rho\lambda}^{cd} = \frac{M_{\text{Pl}}^2}{2} \int d^4x e R$$

where  $R$  is the curvature scalar

# Supergravity: on-shell

$e_\mu^a \leftrightarrow$  helicity 2 particle,  $\mathcal{N} = 1$  SUSY requires helicity 3/2  $\psi_\nu^\alpha$  (gravitino)

on-shell each has two degrees of freedom

gravitino is gauge field  $\leftrightarrow Q_\alpha \leftrightarrow$  field strength  $D_{\mu\nu\alpha}$

$C_{\mu\nu}^a = 0$ , solve for  $\omega_\mu^{ab}$  find on-shell supergravity action:

$$S = \frac{M_{\text{Pl}}^2}{2} \int d^4x e R + \frac{i}{4} \int d^4x \epsilon^{\mu\nu\rho\sigma} \bar{\psi}_\mu \gamma_5 \gamma_\nu D_{\rho\sigma}$$

call second term  $S_{\text{gravitino}}$

metric:

$$g_{\mu\nu} = e_\mu^a e_\nu^b \eta_{ab}$$

in terms of a local inertial coordinate system  $\xi^a$  at the point  $X$

$$e_\mu^a(X) = \frac{\partial \xi^a}{\partial x^\mu}$$

# Brans–Dicke Gravity

first consider toy example, scale-invariant Brans–Dicke theory:

$$S_{\text{BD}} = \int d^4x \left[ \frac{e}{2} \sigma^2 R + \frac{e}{12} \partial^\mu \sigma \partial_\mu \sigma \right]$$

treat scalar  $\sigma$  as a spurion field and set

$$\sigma = M_{\text{Pl}}$$

break local conformal invariance to local Poincaré invariance  
 $\Rightarrow$  Einstein gravity

# Superconformal Gravity

in addition to the “gauge“ fields  $e_\mu^a$  and  $\psi_{\nu\alpha}$  we have  $A_\mu \leftrightarrow$  local  $U(1)_R$  symmetry, and  $b_\mu \leftrightarrow$  local conformal boosts

Counting degrees of freedom off-shell (subtracting gauge invariances):

field		<i>d.o.f.</i>
$e_\mu^a$	16 - 4 - 6 - 1	= 5
$\psi_\nu^\alpha$	16 - 4 - 4	= 8
$A_\mu$	4 - 1	= 3
$b_\mu$	4 - 4	= 0

$e_\mu^a$  subtract 4 (translation), 6 (Lorentz), 1 (dilations)

$\psi_\nu^\alpha$  subtract 4 (SUSY  $Q_\alpha, \bar{Q}_{\dot{\alpha}}$ ), 4 (conformal SUSY  $S_\beta$  and  $\bar{S}_{\dot{\beta}}$ )

$A_\mu$  subtract 1 (local  $R$ -symmetry)

$b_\mu$  subtract 4 (four conformal boost generators)

**no auxiliary fields** for the superconformal graviton multiplet  
 “gauge” fields, **couple with gauge covariant derivatives**

# Supergravity: off-shell

spurion chiral superfield to break the conformal symmetry:

$$\Sigma = (\sigma, \chi, \mathcal{F}_\Sigma)$$

in global  $\mathcal{N} = 1$ ,  $\Sigma$  is a chiral superfield

here it contains part of the off-shell graviton superfield  
 $\Sigma$  called **conformal compensator**

assign conformal weight 1 to the lowest component of  $\Sigma$   
( $x^\mu$  and  $\theta$  have conformal weight  $-1$  and  $-1/2$ )  
full superconformal gravity action is

$$S_{\text{scg}} = \int d^4x \frac{e}{2} \sigma^* \sigma R + e \int d^4\theta \Sigma^\dagger \Sigma + S_{\text{gravitino}}$$

derivatives are covariant in “gauge“ fields ( $e_\mu^a, \psi_{\nu\alpha}, A_\mu, b_\mu$ )  
**a superconformal Brans–Dicke theory**

# Supergravity: off-shell

Treat  $\sigma$ ,  $\chi$ , and  $b_\mu$  as spurion fields

$$\sigma = M_{\text{Pl}}, \quad \chi = 0, \quad b_\mu = 0$$

local superconformal invariance  $\rightarrow$  local super-Poincaré invariance  
resulting action is:

$$S_{\text{sg}} = \int d^4x e \left[ \frac{M_{\text{Pl}}^2}{2} R + \mathcal{F}_\Sigma \mathcal{F}_\Sigma^\dagger - \frac{2M_{\text{Pl}}^2}{9} A_\mu A^\mu \right] + S_{\text{gravitino}}$$

$\mathcal{F}_\Sigma$  and  $A_\mu$  are auxiliary fields, counting:

field		<i>d.o.f.</i>
$e_\mu^a$	16 - 4 - 6	= 6
$\psi_\nu^\alpha$	16 - 4	= 12
$A_\mu$	4	= 4
$\mathcal{F}_\Sigma$	2	= 2

6 bosonic degrees of freedom from  $\mathcal{F}_\Sigma$  and  $A_\mu$  are just what is required to have  $\mathcal{N} = 1$  SUSY manifest off-shell



# Superspace

eight-dimensional space  $z^M = (x^\mu, \theta^\alpha, \bar{\theta}^{\dot{\alpha}})$   
require super-general coordinate invariance

$$z^M \rightarrow z'^M = z^M + \xi^M$$

where  $\xi^M(z^M)$

Superspace scalars transform

$$\phi'(z') = \phi(z)$$

while fields with a superspace index

$$\psi_M = \frac{\partial \phi}{\partial z^M}$$

transform as

$$\psi'_M(z') = \frac{\partial z^N}{\partial z'^M} \psi_N(z)$$

# Superspace

construct a vielbein  $E_M^A$

relates the superspace world coordinate to a locally Lorentz covariant (tangent space) coordinate

contains the off-shell multiplet  $(e_\mu^a, \psi_{\nu\alpha}, A_\mu, \mathcal{F}_\Sigma)$

we can choose a coordinate system where, for  $\theta = 0$ ,

$$E_\mu^a = e_\mu^a, \quad E_\mu^\alpha = \frac{1}{2}\psi_\mu^\alpha, \quad E_\mu^{\dot{\alpha}} = \frac{1}{2}\bar{\psi}_\mu^{\dot{\alpha}}$$

# Coupling to matter

arbitrary global SUSY theory:

$$\mathcal{L}_{\text{gl}} = \int d^4\theta K(\Phi^\dagger, e^V \Phi) + \int d^2\theta \left( W(\Phi) - \frac{i\tau}{16\pi} W^\alpha W_\alpha \right) + h.c.$$

define conformal weight 0 fields and mass parameters by

$$\begin{aligned}\Phi' &= \Sigma \Phi \\ m' &= \Sigma m\end{aligned}$$

dropping the primes, **local superconformal-Poincaré invariant Lagrangian:**

$$\begin{aligned}\mathcal{L} &= \int d^4\theta f(\Phi^\dagger, e^V \Phi) \frac{\Sigma^\dagger \Sigma}{M_{\text{Pl}}^2} + \int d^2\theta \frac{\Sigma^3}{M_{\text{Pl}}^3} W(\Phi) - \int d^2\theta \frac{i\tau}{16\pi} W^\alpha W_\alpha + h.c. \\ &\quad - \frac{1}{6} f(\phi^\dagger, \phi) \sigma^* \sigma R + \mathcal{F}_\Sigma \mathcal{F}_\Sigma^\dagger - \frac{2M_{\text{Pl}}^2}{9} A_\mu A^\mu + \mathcal{L}_{\text{gravitino}}\end{aligned}$$

action:

$$S = \int d^4x e \mathcal{L}$$

# Coupling to matter

$M_{\text{Pl}} \rightarrow \infty$  (global SUSY) limit, choose

$$f(\Phi^\dagger, e^V \Phi) = -3 M_{\text{Pl}}^2 e^{-K(\Phi^\dagger, e^V \Phi)/3M_{\text{Pl}}^2}$$

rescaling the vierbein by a Weyl (local scale) transformation

$$e_\mu^a \rightarrow e^{-K/12M_{\text{Pl}}^2} e_\mu^a$$

one finds bosonic piece of the action:

$$S_{\text{B}} = \int d^4x e \left[ \frac{M_{\text{Pl}}^2}{2} R + K_j^i(\phi^\dagger, \phi) (\nabla^\mu \phi^i)^\dagger \nabla_\mu \phi_j - \mathcal{V}(\phi^\dagger, \phi) + \frac{i\tau}{16\pi} (F_{\mu\nu} F^{\mu\nu} + i F_{\mu\nu} \tilde{F}^{\mu\nu}) + h.c. \right]$$

where  $K^i$  and  $K_j^i$  (the Kähler metric) are given by

$$K^i(\phi^\dagger, \phi) = \frac{\partial K}{\partial \phi_i} \quad , \quad K_j^i(\phi^\dagger, \phi) = \frac{\partial^2 K}{\partial \phi^{j\dagger} \partial \phi_i}$$

# Coupling to matter

scalar potential:

$$\mathcal{V}(\phi^\dagger, \phi) = e^{K/M_{\text{Pl}}^2} \left[ (K^{-1})^j_i \left( W^i + \frac{W K^i}{M_{\text{Pl}}^2} \right) \left( W_j^* + \frac{W^* K_j}{M_{\text{Pl}}^2} \right) - \frac{3|W|^2}{M_{\text{Pl}}^2} \right] + \frac{g^2}{2} (K^i T^a \phi_i)^2$$

last term is just the  $D$ -term potential

in supergravity the energy density can be negative  
usually tune tree-level vacuum energy to zero by adding the appropriate constant to  $W$

# Coupling to matter

auxiliary components of chiral superfields (no fermion bilinear VEVs):

$$\mathcal{F}_i = -e^{K/2M_{\text{Pl}}^2} (K^{-1})_i^j \left( W_j^* + \frac{W^* K_j}{M_{\text{Pl}}^2} \right) \quad (*)$$

from fermionic piece of Lagrangian,  $\nabla_\mu \tilde{\phi}_i$  contains a gravitino term

$$\frac{1}{M_{\text{Pl}}} \psi_\mu^\alpha Q_\alpha \tilde{\phi}_i = \frac{1}{M_{\text{Pl}}} \psi_\mu^\alpha \mathcal{F}_i + \mathcal{O}(\sigma^\mu \partial_\mu \phi_i)$$

so the Kähler function contains a term:

$$iK_j^i \frac{1}{M_{\text{Pl}}} \bar{\theta}^{\tilde{j}} \theta^2 \psi_\mu \mathcal{F}_i \sigma^\mu \bar{\theta}$$

in analogy to the ordinary Higgs mechanism, that the **gravitino eats the goldstino** if there is a nonvanishing  $\mathcal{F}$  component

in flat spacetime, goldstino adds right number of degrees of freedom to make a massive spin 3/2 particle

# Gravitino Mass

in flat spacetime

$$m_{3/2}^2 = \frac{\mathcal{F}^{*j} K_j^i \mathcal{F}_i}{3M_{\text{Pl}}^2}$$

use (\*) and  $\mathcal{V} = 0 \Rightarrow$

$$m_{3/2}^2 = e^{K/M_{\text{Pl}}^2} \frac{|W|^2}{M_{\text{Pl}}^4}$$

taking a canonical Kähler function

$$K = Z \Phi^{i\dagger} \Phi_i$$

and  $M_{\text{Pl}} \rightarrow \infty$  reproduces usual global SUSY results

# Maximal Supergravity

massless supermultiplet with helicities  $\leq 2$   
SUSY charges change the helicity by  $\frac{1}{2} \Rightarrow \mathcal{N} \leq 8$   
arbitrary dimension cannot have more than  $32 = 8 \times 4$  real SUSY charges  
maximal dimension: spinor in 11 dimensions has 32 components  
supergravity theory must have  $e_\mu^a$  and  $\psi_\mu^\alpha$  massless gauge fields  
 $D$  dimensions: “little“ group  $SO(D - 2)$   
graviton: symmetric tensor of  $SO(D - 2)$  has  $(D - 1)(D - 2)/2 - 1$  dof  
44 dof for  $D = 11$   
gravitino is a vector-spinor and a vector has  $D - 2$  dof  
spinor of  $SO(D)$  has  $d_S$  components, where

$$d_S = 2^{(D-2)/2} \text{ (for } D \text{ even), } d_S = 2^{(D-1)/2} \text{ (for } D \text{ odd)}$$



# 11 dimensions

Majorana spinor has  $d_S = 32$  real components, 16 dof on-shell  
tracelessness condition  $\Gamma^\mu \psi_\mu^\alpha = 0$  leaves  $(D - 3)d_S/2$  degrees of freedom  
for the vector-spinor

gravitino has 128 real on-shell dof

gravitino - graviton = 84 more fermionic dof than bosonic

difference made up by three index antisymmetric tensor  $A_{\mu\nu\rho}$   
antisymmetric tensor with  $p$  indices (i.e. rank  $p$ ) has

$$\frac{1}{p!} (D - 2) \dots (D - p - 1)$$

dof on-shell, also called a  $p$ -form field

$$\frac{(11-2)(11-3)(11-4)}{6} = 3 \cdot 4 \cdot 7 = 84$$

# 11 dimensions: BPS solitons

The SUSY algebra of 11-D supergravity has two central charges  
two Lorentz indices, five Lorentz indices  $\leftrightarrow$  BPS solitons  
central charge acts as a **topological charge**, spatial integral at fixed  $t$   
preserve index structure, solitons extend in two and five spatial directions  
called  $p$ -branes for  $p$  spatial directions  
e.g. monopole is a 0-brane, couples to a 1-form gauge field  $A_\mu$   
 $p$ -brane couples to a  $(p + 1)$ -form gauge field  
2-brane couples to 3-form gauge field  $A_{\mu\nu\rho}$   
a  $p$ -form gauge field has a  $(D - p - 2)$ -form dual gauge field  
field strength  $\leftrightarrow A_{\mu\nu\rho}$  is a 4-form:  $F_{\mu\nu\rho\lambda}$   
contract with  $\epsilon$  tensor gives dual 7-form  $\leftrightarrow$  6-form dual gauge field  
couples to the 5-brane

# 10 dimensions

compactify 1 dimension on a circle

decompose  $D = 11$  fields into massless  $D = 10$  fields (constant on circle)

$$\begin{aligned} e_{\mu}^a (44) &\rightarrow e_{\mu}^a (35), B_{\mu} (8), \sigma (1) \\ A_{\mu\nu\rho} (84) &\rightarrow A_{\mu\nu\rho} (56), A_{\mu\nu} (28) \\ \psi_{\mu}^{\alpha} (128) &\rightarrow \psi_{\mu}^{+\alpha} (56), \psi_{\mu}^{-\alpha} (56), \lambda^{+\alpha} (8), \lambda^{-\alpha} (8) \end{aligned}$$

32 supercharges of  $D = 11 \rightarrow$  two  $D = 10$  spinors

spinors have opposite chirality

gravitino splits into states of opposite chirality, labeled by  $+$  and  $-$

this is **Type IIA** supergravity

two other supergravity theories in  $D = 10$

**Type I**: single spinor of supercharges

**Type IIB**: supercharges are two spinors with the same chirality

# Low-Energy Effective Theories

Type IIA  $\leftrightarrow$  Type IIA string theory

Type IIB  $\leftrightarrow$  Type IIB string theory

Type I with  $E_8 \times E_8$  or  $SO(32)$   $\leftrightarrow$  heterotic string theory

$D = 11$  supergravity  $\leftrightarrow$  M-theory

# 4D helicities

massless vector  $\rightarrow$  massless 4D vector and  $D - 4$  massless scalars  
 $\Leftrightarrow$  two components with helicity 1 and  $-1$  and  $D - 4$  helicity 0 states  
 $\Leftrightarrow D - 4$  lowering operators  
 e.g. 5D, the little group is  $SO(3)$ , one lowering operator  $\sigma^- = \frac{1}{2}(\sigma^1 - i\sigma^2)$

traceless symmetric tensor field,  $e_\mu^a$ ,  $\Leftrightarrow$  symmetric product of two vectors:

helicity	degeneracy $D = 11$	degeneracy $D = 10$
2	1	1
1	$7 = 1 \cdot (11 - 4)$	$6 = 1 \cdot (10 - 4)$
0	$28 = 7 \cdot 8/2 - 1 + 1$	$21 = 6 \cdot 7/2 - 1 + 1$
$-1$	$7 = 1 \cdot (11 - 4)$	$6 = 1 \cdot (10 - 4)$
$-2$	1	1

## 4D helicities: 2-form

2-form field  $\Leftrightarrow$  antisymmetric product of two vectors:

helicity	degeneracy $D = 11$	degeneracy $D = 10$
1	7	6
0	$7(7 - 1)/2 + 1 = 22$	$6(6 - 1)/2 + 1 = 16$
-1	7	6

where the  $7(7 - 1)/2$  comes from antisymmetrizing the helicity 0 components, and the  $+1$  corresponds to combining the helicity 1 and  $-1$  components of the two vectors

## 4D helicities: 3-form

helicity	degeneracy $D = 11$	degeneracy $D = 10$
1	21	15
0	$35 + 7 = 42$	$20 + 6 = 26$
-1	21	15

35 comes from antisymmetrizing three helicity 0 components, and the +7 corresponds to the combining helicity 1 and -1 components and one helicity 0 component of the three vectors

# 4D helicities: gravitino

$D = 11$  spinor has 8 helicity  $\frac{1}{2}$  components and 8 helicity  $-\frac{1}{2}$  components, while for  $D = 10$  these components correspond to two opposite chirality spinors, we can reconstruct the gravitino by combining a vector and a spinor (remembering the tracelessness condition)

helicity	degeneracy $D = 11$	degeneracy $D = 10$
$\frac{3}{2}$	8	8
$\frac{1}{2}$	$56 = 8 \cdot 7$	$48 = 8 \cdot 6$
$-\frac{1}{2}$	$56 = 8 \cdot 7$	$48 = 8 \cdot 6$
$-\frac{3}{2}$	8	8



# $D = 11$ Supermultiplet

starting with a helicity  $-2$  state and raising the helicity repeatedly by acting with 8 SUSY generators (and remembering to antisymmetrize)

11D sugra. state	helicity	degeneracy	$e_\mu^a$	$A_{\mu\nu\rho}$	$\psi_\mu^\alpha$
$\overline{Q}^8  \Omega_{-2}\rangle$	2	1	1		
$\overline{Q}^7  \Omega_{-2}\rangle$	$\frac{3}{2}$	8			8
$\overline{Q}^6  \Omega_{-2}\rangle$	1	28	7	21	
$\overline{Q}^5  \Omega_{-2}\rangle$	$\frac{1}{2}$	56			56
$\overline{Q}^4  \Omega_{-2}\rangle$	0	70	28	42	
$\overline{Q}^3  \Omega_{-2}\rangle$	$-\frac{1}{2}$	56			56
$\overline{Q}^2  \Omega_{-2}\rangle$	-1	28	7	21	
$\overline{Q}  \Omega_{-2}\rangle$	$-\frac{3}{2}$	8			8
$ \Omega_{-2}\rangle$	-2	1	1		

# $D = 10$ Type IIA Supermultiplet

IIA state	helicity	degen.	$e_\mu^a$	$A_{\mu\nu\rho}$	$A_{\mu\nu}$	$B_\mu$	$\sigma$	$\psi_\mu^{\pm\alpha}$	$\lambda^{\pm\alpha}$
$\overline{Q}^8  \Omega_{-2}\rangle$	2	1	1						
$\overline{Q}^7  \Omega_{-2}\rangle$	$\frac{3}{2}$	8						8	
$\overline{Q}^6  \Omega_{-2}\rangle$	1	28	6	15	6	1			
$\overline{Q}^5  \Omega_{-2}\rangle$	$\frac{1}{2}$	56						48	8
$\overline{Q}^4  \Omega_{-2}\rangle$	0	70	21	26	16	6	1		
$\overline{Q}^3  \Omega_{-2}\rangle$	$-\frac{1}{2}$	56						48	8
$\overline{Q}^2  \Omega_{-2}\rangle$	-1	28	6	15	6	1			
$\overline{Q}  \Omega_{-2}\rangle$	$-\frac{3}{2}$	8						8	
$ \Omega_{-2}\rangle$	-2	1	1						

# 10D: BPS branes

SUSY algebra of Type IIA, central charges of rank 0, 1, 2, 4, 5, 6, 8

$\leftrightarrow$  p-branes

gauge fields of rank 1, 2, and 3

dual gauge fields of rank 5, 6, 7

1-brane  $\leftrightarrow$  fundamental string of Type IIA string theory

Type IIA supergravity  $\leftrightarrow$  compactified 11D supergravity

2-brane of 11D  $\leftrightarrow$  2-brane of Type IIA when  $\perp$  circle

2-brane of 11D  $\leftrightarrow$  1-brane when wraps circle

5-brane of 11D supergravity  $\leftrightarrow$  5-brane and 4-brane of Type IIA

# Brane Tensions

11D supergravity has one coupling constant,  $\kappa$ , 11D Newton's constant

$$\mathcal{L} = \frac{1}{2\kappa^2} eR$$

11D Planck mass by  $\kappa = M_{\text{Pl}}^{-9/2}$   
tension (energy per unit volume) of branes given powers of  $M_{\text{Pl}}$   
energy per unit area of the 2-brane is  $T_2 = M_{\text{Pl}}^3$   
5-brane we have  $T_5 = M_{\text{Pl}}^6$   
2-brane and 5-brane of Type IIA have same tensions as 11D theory  
1-brane and 4-brane have  $T_1 = R_{10}M_{\text{Pl}}^3$  and  $T_4 = R_{10}M_{\text{Pl}}^6$   
1-brane is the fundamental string of Type IIA string theory  
 $\Rightarrow$  identify tension with string tension or string mass squared:

$$T_1 = R_{10}M_{\text{Pl}}^3 \equiv \frac{1}{4\pi\alpha'} \equiv m_s^2$$

# String Coupling

express the tensions in terms of  $m_s$  and Type IIA string coupling

$$g_s = (R_{10} M_{\text{Pl}})^{3/2}$$

$$T_2 = \frac{m_s^3}{g_s}, \quad T_4 = \frac{m_s^5}{g_s}, \quad T_5 = \frac{m_s^6}{g_s^2}$$

branes are nonperturbative BPS solitons not surprising to see inverse powers of the coupling

$1/g_s$  dependence of the 2-brane and 4-brane significant universal feature of what are now called **D-branes**

# $D = 10$ Type IIB Supermultiplet

SUSY algebra has central charges of rank 1, 3, 5, 7  
expect the corresponding  $p$ -branes to couple to gauge fields of rank 2 and 4,  $A_{\mu\nu}$  and  $B_{\mu\nu\rho\lambda}$ , and their duals  
 $e_\mu^a$ ,  $\psi_\mu^\alpha$ ,  $\lambda^\alpha$  have same dof as the Type IIA, difference being that  $\psi_\mu^\alpha$  and  $\lambda^\alpha$  have opposite chirality in the IIB theory  
it turns out that  $A_{\mu\nu}$  is complex, twice as many dof = 56  
so far the fermions have 37 more dof than the  $e_\mu^a$  and  $A_{\mu\nu}$  combined, while an unconstrained 4-form field has 70 dof  
5-form field strength corresponding to  $B_{\mu\nu\rho\lambda}$  constrained to be self-dual, reduces dof to 35  
need a complex scalar,  $a$ , to balance out the multiplet:

$$e_\mu^a (35), a (2), A_{\mu\nu} (56), B_{\mu\nu\rho\lambda} (35) \\ \psi_\mu^\alpha (112), \lambda^\alpha (16)$$

# $D = 10$ Type IIB Supermultiplet

two SUSY spinor charges of the Type IIB theory have same chirality  
transform as vector under an  $SO(2)$  group, i.e.  $R$ -charges  $\pm 1$   
single Clifford vacuum state with helicity  $-2$  must have  $SO(2)$  charge 0  
gravitino splits into two parts with charges  $\pm 1$   
to antisymmetrize SUSY charges:  
antisymmetrize  $SO(2)$ , symmetrize remaining four spinor indices  
or  
symmetrize  $SO(2)$ , antisymmetrize the remaining four spinor indices

# D = 10 Type IIB Supermultiplet

IIB state	helicity	degeneracy	$e_\mu^a$	$B_{\mu\nu\rho\lambda}$	$A_{\mu\nu}$	$a$	$\psi_\mu^\alpha$	$\lambda^\alpha$
$\overline{Q}^8  \Omega_{-2}\rangle$	2	1	1					
$\overline{Q}^7  \Omega_{-2}\rangle$	$\frac{3}{2}$	8					8	
$\overline{Q}^6  \Omega_{-2}\rangle$	1	28	6	10	12			
$\overline{Q}^5  \Omega_{-2}\rangle$	$\frac{1}{2}$	56					48	8
$\overline{Q}^4  \Omega_{-2}\rangle$	0	70	21	15	32	2		
$\overline{Q}^3  \Omega_{-2}\rangle$	$-\frac{1}{2}$	56					48	8
$\overline{Q}^2  \Omega_{-2}\rangle$	-1	28	6	10	12			
$\overline{Q}  \Omega_{-2}\rangle$	$-\frac{3}{2}$	8					8	
$ \Omega_{-2}\rangle$	-2	1	1					



# $D = 10$ Type IIB Supermultiplet

symmetric combination of  $4 \times 4$  is 10, antisymmetric under  $SO(2)$

$\Rightarrow B_{\mu\nu\rho\lambda}$  has  $SO(2)$  charge 0

antisymmetric combination of  $4 \times 4$  is 6 and graviton has  $SO(2)$  charge 0

$\Rightarrow$  two 6's corresponding to  $A_{\mu\nu}$  must have charges  $\pm 2$ .

$\lambda^\alpha$  has  $SO(2)$  charge  $\pm 3$

scalar  $a$  has  $SO(2)$  charge  $\pm 4$

# $D = 10$ Type I Supermultiplet

parity in Type IIB: 4-form, half of 2-form, half of scalar are odd  
truncate by keeping only the even fields.

Majorana condition on the fermions reduces dof by one half

$$e_{\mu}^a (35), \sigma (1), A_{\mu\nu} (28)$$
$$\psi_{\mu}^{\alpha} (56), \lambda^{\alpha} (8)$$

construction of multiplet has a further complication: only four SUSY raising operators, starting with  $|\Omega_{-2}\rangle$  yields a maximum helicity of 0  
adding CPT conjugate  $\rightarrow$  two helicity 0 components and four helicity  $\frac{1}{2}$   
graviton requires 21 helicity 0 components  
gravitino and spinor require 28 helicity  $\frac{1}{2}$  components  
need to add 6 copies of a multiplet based on  $|\Omega_{-1}\rangle$

# D = 10 Type I Supermultiplet

Type I state	helicity	degen.	$e_\mu^a$	$A_{\mu\nu}$	$\sigma$	$\psi_\mu^\alpha$
$\bar{Q}^4  \Omega_0\rangle$	2	1	1			
$\bar{Q}^3  \Omega_0\rangle$	$\frac{3}{2}$	4				4
$\bar{Q}^2  \Omega_0\rangle + 6 \times \bar{Q}^4  \Omega_{-1}\rangle$	1	12	6	6		
$\bar{Q}  \Omega_0\rangle + 6 \times \bar{Q}^3  \Omega_{-1}\rangle$	$\frac{1}{2}$	28				24
$\bar{Q}^4  \Omega_{-2}\rangle + 6 \times \bar{Q}^2  \Omega_{-1}\rangle +  \Omega_0\rangle$	0	38	21	16	1	
$\bar{Q}^3  \Omega_{-2}\rangle + 6 \times \bar{Q}  \Omega_{-1}\rangle$	$-\frac{1}{2}$	28				24
$\bar{Q}^2  \Omega_{-2}\rangle + 6 \times  \Omega_{-1}\rangle$	-1	12	6	6		
$\bar{Q}  \Omega_{-2}\rangle$	$-\frac{3}{2}$	4				4
$ \Omega_{-2}\rangle$	-2	1	1			

# $D = 10$ Type I and Yang-Mills

$D = 10, \mathcal{N} = 1$  (16 real supercharges) Yang-Mills  
contains a vector and spinor with eight dof each  
couple to Type I supergravity  
anomaly cancellation allows for the gauge group  $E_8 \times E_8$  or  $SO(32)$   
two low-energy effective theories for the two heterotic string theories

$D = 10, \mathcal{N} = 1$  Yang-Mills is low-energy effective theory for  
Type I string theory

# $D = 5, \mathcal{N} = 8$ , gauged supergravity

consider Type IIB supergravity compactified on  $S^5$

integrate out nonzero modes on  $S^5$

$SO(6) \sim SU(4)$  isometry  $\rightarrow$  gauge symmetry in the effective theory

5D little group is  $SO(3)$

each massless field has one component for each helicity

massless 5D have the same dof as the corresponding massive 4D

graviton has helicities: 2,1,0,-1, and -2: five dof

vector and 2-form have three helicity components 1, 0, and -1

gravitino has four helicity components: 3/2, 1/2, -1/2, and -3/2

spinor has helicity components 1/2 and -1/2

in addition to the  $SU(4)$  gauge symmetry, there is  $SO(2)$   $R$ -symmetry from Type IIB theory

SUSY generators transform as  $(\square, +1) + (\bar{\square}, -1)$

# 5D Graviton Supermultiplet

5D sugra. state	helicity	degeneracy	$e_\mu^a$	$A_\mu$	$B_{\mu\nu}$	$\phi$	$\psi_\mu^\alpha$	$\lambda^\alpha$
$\overline{Q}^8  \Omega_{-2}\rangle$	2	1	1					
$\overline{Q}^7  \Omega_{-2}\rangle$	$\frac{3}{2}$	8					8	
$\overline{Q}^6  \Omega_{-2}\rangle$	1	28	1	15	12			
$\overline{Q}^5  \Omega_{-2}\rangle$	$\frac{1}{2}$	56					8	48
$\overline{Q}^4  \Omega_{-2}\rangle$	0	70	1	15	12	42		
$\overline{Q}^3  \Omega_{-2}\rangle$	$-\frac{1}{2}$	56					8	48
$\overline{Q}^2  \Omega_{-2}\rangle$	-1	28	1	15	12			
$\overline{Q}  \Omega_{-2}\rangle$	$-\frac{3}{2}$	8					8	
$ \Omega_{-2}\rangle$	-2	1	1					

# 5D Graviton Supermultiplet

representations of  $SU(4) \times SO(2)$

graviton	$e_\mu^a$	$(1, 0)$
vector	$A_\mu$	$\left( \begin{array}{c} \square\square \\ \square \end{array}, 0 \right)$
2-form	$B_{\mu\nu}$	$\left( \begin{array}{c} \square \\ \square \end{array}, 2 \right) + \left( \begin{array}{c} \square \\ \square \end{array}, -2 \right)$
scalars	$\phi$	$(1, \pm 1), (\square\square, 2) + (\overline{\square\square}, -2) + \left( \begin{array}{c} \square\square \\ \square\square \end{array}, 0 \right)$
gravitino	$\psi_\mu^\alpha$	$(\square, 1) + (\overline{\square}, -1)$
“gauginos“	$\lambda^\alpha$	$(\square, 3), + (\overline{\square}, -3) + \left( \begin{array}{c} \square\square \\ \square\square \end{array}, 1 \right) + \left( \begin{array}{c} \overline{\square\square} \\ \overline{\square\square} \end{array}, -1 \right)$